



adding existing share certificates to your account

Section 1: Your details

Title Surname Customer reference
Forename(s) Account
Phone Email

Section 2: Certificate details - use a separate form for each company/undertaking

Number of certificates 20 max Company
Total number of shares to be added

Section 3: Adding share certificates to a joint account (if applicable) - where the current holding is in sole name

If you are adding certificate(s) to a joint account that is in a sole name, you are required to gift them to joint ownership. Please note all parties must have provided confirmation of their nationalities and National Client Identifiers before we can process your request. Please complete the declaration below.

Declaration for joint ownership

I, the current shareholder hereby instruct The Share Centre to transfer the above certificates by way of gift to the joint ownership of:

name of joint account holders

I understand that a transfer of shares between spouses is free of Capital Gains Tax but that transfers between other parties may be subject to tax and/or stamp duty. I understand that it is my responsibility to notify HMRC. If I have any doubts I will seek the appropriate independent advice.

Signature Date

Section 4: Authorisation

I/we authorise The Share Centre Limited to add the share certificate(s) as detailed above to my/our account.

Signature(s) Date

Please ensure you sign the CREST transfer form opposite where indicated

Checklist - please make sure you can tick all boxes before returning your form

- I have completed all sections above
- I have signed above and also the CREST transfer form opposite
- I have enclosed two forms of identification as detailed on the enclosed sheet
- I have enclosed all certificates identified in section 2

PO Box 2000 Aylesbury Buckinghamshire HP21 8ZB
phone 01296 41 41 41 **deal** 01296 41 42 43 **fax** 01296 41 41 40
email info@share.co.uk **web** www.share.com

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**CREST
TRANSFER
FORM**

Please complete
this form in type or
in BLOCK CAPITALS

Name of
Undertaking

Description
of Security

Names(s) of registered
holder(s) should
be given in full: the
address should be
given where there is
only one holder.
If the transfer is
not made by the
registered holder(s)
insert also the
names(s) and capacity
(eg Executor(s) of the
person(s) making the
transfer.

**PLEASE
SIGN
HERE**



Full name(s) of the
person(s) to whom
the security is to be
transferred.

Such person(s)
must be a system
member.

Reference to the Registrar
in this form means the
registrar or registration
agent of the undertaking,
NOT the Registrar of
Companies at Companies
House.

Above the line for registrar's use only

Counter Location Stamp

Barcode or Reference

SDRN

Consideration Money

Certificate lodged with Registrar

(for completion by the Registrar/Stock
Exchange)

Number of shares or other security in words

Amount of shares or other security
in figures

In the name(s) of

Account designation (if any)

Balance Certificate(s)

I/We hereby transfer the above security out of the name(s) aforesaid into the name of the system member set out below and request the necessary entries to be made in the undertaking's own register of members.

Signature(s) of the Transferor(s):

- 1
- 2
- 3
- 4

A body corporate should execute this transfer under its common seal or otherwise in accordance with applicable statutory requirements.

Stamp of Depositing system user
Stamp of Selling Broker(s), or for trans-
actions which are not Stock Exchange
transactions, of Agent(s) if any, acting
for the transferor(s).

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The Share Centre Limited is authorised
and regulated by the Financial Conduct
Authority under number 146768 and
is a member of The London Stock
Exchange. Registered in England no.
2461949 PO Box 2000, Aylesbury, Bucks
HP21 8ZB

Date:

Share Nominees Limited

Participant ID:

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Member Account ID:

Euroclear UK & Ireland Limited is delivering this transfer at the direction and on behalf of the depositing system-user whose stamp appears herein and does not in any manner or to any extent warrant or represent the validity, genuineness or correctness of the transfer instructions contained herein or the genuineness of the signature(s) of the transferor(s). The depositing system-user by delivering this transfer to Euroclear UK & Ireland Limited authorises Euroclear UK & Ireland Limited to deliver this transfer for registration and agrees to be deemed for all purposes to be the person(s) actually so delivering this transfer for registration. This form should be used only for a transfer of a certificated unit of security to a CREST member to be held by a CREST member in uncertificated form. It should not be used for conversion of a unit held by a CREST member into uncertificated form. The CREST rules require that this form be used for the transfer of a unit of a certificated security to a CREST member to be held by that member in uncertificated form. Any such transfer on this form is exempt from stamp duty.

Nationality and National Client Identifier (NCI) help sheet

Please use this Help Sheet to complete your Nationality and National Client Identifier. For Dual Nationalities, please select them in the order they appear in the table below.

Country Name	Primary National Client Identifier (NCI)	Secondary NCI	Third NCI
Austria	No NCI		
Belgium	Belgian National Number (Numéro de registre national - Rijksregisternummer)	No NCI	
Bulgaria	Bulgarian Personal Number	No NCI	
Cyprus	National Passport Number	No NCI	
Czech Republic	National identification number (Rodné číslo)	Passport Number	No NCI
Germany	No NCI		
Denmark	Personal identity code 10 digits alphanumeric: DDMMYYXXXX	No NCI	
Estonia	Estonian Personal Identification Code (Isikukood)		
Spain	Tax identification number (Código de identificación fiscal)		
Finland	Personal identity code	No NCI	
France	No NCI		
Great Britain / United Kingdom	UK National Insurance Number	No NCI	
Greece	10 DSS digit investor share	No NCI	
Croatia	Personal Identification Number (OIB - Osobni identifikacijski broj)	No NCI	
Hungary	No NCI		
Ireland	No NCI		
Iceland	Personal Identity Code (Kennitala)		
Italy	Fiscal code (Codice fiscale)		
Liechtenstein	National Passport Number	National Identity Card Number	No NCI
Lithuania	Personal code (Asmens kodas)	National Passport Number	No NCI
Luxembourg	No NCI		
Latvia	Personal code (Personas kods)	No NCI	
Malta	National Identification Number	National Passport Number	
Netherlands	National Passport Number	National Identity Card Number	No NCI
Norway	11 digit personal id (Foedselsnummer)	No NCI	
Poland	National Identification Number (PESEL)	Tax Number (Numer identyfikacji podatkowej)	
Portugal	Tax Number (Número de identificação fiscal)	National Passport Number	No NCI
Romania	National Identification Number (Cod Numeric Personal)	National Passport Number	No NCI
Sweden	Personal Identity Number	No NCI	
Slovenia	Personal Identification Number (EMSO: Enotna Maticna Številka Občana)	No NCI	
Slovakia	Personal Number (Rodné číslo)	National Passport Number	No NCI
All other countries	National Passport Number	No NCI	

MiFID II FAQs

What does it mean for customers?

The following questions might help:

What is MiFID II?

MiFID II stands for 'The Markets in Financial Instruments Directive 2', which is new legislation that comes into force on 3 January 2018. MiFID II is coming in to effect to increase investor protection and increase how transparent financial markets are. This new legislation will also create consistency across Europe.

What does this mean for me?

Under the new MiFID II legislation, organisations are required to confirm their customer's nationality and national client identifier (NCI) by 3 January 2018. This is to enable firms to accurately report deals completed to their local regulator which for us is the Financial Conduct Authority.

What do I do if I act on behalf of someone else?

If you act on behalf of another customer, such as a Power of Attorney/ third party mandate, or a registered contact for minors, we will need to collect your NCI as the decision maker on the account, as well as the underlying account owner.

Why will I need to confirm this information?

This information is required to ensure the FCA can monitor dealing patterns and identify any market abuse. Using the NCI will ensure this reporting is consistent across all investing platforms and stockbrokers. Therefore where this data is outstanding, customers will need to provide their NCI, prior to 3 January 2018, to be able to continue dealing in their account as normal. If customers do not provide this information where necessary, it will prevent trading or delay any investments until this information is in place.

Please note: that this is an activity that will be commencing across the industry with all stockbrokers and investment platforms to comply with this new legislation and therefore, customers may find they are required to complete this with multiple brokers, where applicable.

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